

01/23/01
Jc971 U.S. PTO

TRANSMITTAL OF
UTILITY
PATENT APPLICATION
UNDER 37 CFR 1.53(b)

Attorney Docket No. 38830/193296CONT.
First Named Inventor Arthur W. [redacted] et al.
Title Microbiological Water Filter
Express Mail Label No. EL670010010US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Specification Total Pages **45**
(preferred arrangement as set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

2. ☐ Drawing(s) (35 USC 113) Total Sheets _____
3. Oath or Declaration Total Pages **3**

- a. ☐ Unexecuted (original or copy)
b. ☒ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with box 17 completed) [Note Box 4 below]
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, See 37 CFR 1.63(d)(2) and 1.33(b)

4. ☒ Incorporation By Reference (usable if Box 3b is checked)
The entire disclosure of the prior application, which a copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

5. ☐ Application Data Sheet (37 CFR 1.76)
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
a. ☐ Computer Readable Copy
b. ☐ Paper Copy (identical to computer copy)
c. ☐ Statement verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure ☐ Copies of IDS Statement (IDS)/PTO-1449 Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Applicant claims small entity status (37 CFR 1.27)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 USC 122(b)(2)(B)(ii)
17. ☒ Other:

Check in the amount of \$ **355.00**

During the pendency of this application, the Commissioner is hereby authorized to credit overpayments or charge any additional fees under 37 CFR 1.116 and 1.117 to Deposit Account No. 11-0855

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment:

☒ Continuation of prior application No. **09/382,278** ☐ Divisional ☐ Continuation-in-part (CIP)
Prior application information: Examiner: **Chester Barry** Group/Art Unit: **1724**

19. FEE CALCULATIONS

CLAIMS	For	Number Filed	Extra	Rate	Calculations
	Total Claims	2 - 20 =	0	\$18 =	\$ 0.00
	Indep. Claims	1 - 3 =	0 x	\$80 =	\$ 0.00
	Multiple Dependent Claims (if applicable)		+	\$270 =	\$ 0.00
				Basic Fee (37 CFR 1.16)	\$ 710.00
				Total Calculations	\$ 710.00
				<input type="checkbox"/> Applicant claims small entity status (37 CFR 1.22) 50% reduction	\$ -355.00
				<input type="checkbox"/> Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property	\$ 0.00
				TOTAL FEES DUE	\$ 355.00

20. CORRESPONDENCE ADDRESS

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Name (Print/Type) Bruce D. Gray Registration No. (Attorney/Agent) 35,799
Signature [Signature] Date January 23, 2001

21. CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.10

I hereby certify that this correspondence, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on this **23rd** day of January, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR 1.10, Mailing Label Number **EL670010010US** addressed to the Box PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

[Signature]
Signature